

# Much ado about SEC regulations?

## How best to ensure your company's compliance with the SEC and SET small print

In a dynamic business environment, legislation is also undergoing constant change and growing in complexity. Public companies are therefore facing the challenge to comply with the securities laws and regulations while having the need to satisfy stakeholders' and investors' growing interest in legal compliance and risk management.

In addition, the communication of business information is more than a mere obligation. Besides general questions about compliance with the formalities, the what and how of information being made available to management and investors sends out strong signals as to the extent of your organisation's commitment to quality, compliance and good corporate governance.

With our Company Secretary Service we offer you assistance and expert knowledge, tailored specifically to cover the needs and challenges of public companies. You have access to a number of specialised lawyers who maintain close relations with the relevant supervisory authorities and are therefore in the best position to support you in the application and interpretation of laws and regulations. They can also assist in the administration and execution of annual shareholders' meetings and act as legal counsel during them.



## Overview of our Service Offerings:

- **Supervisory Authority Services**
  - Provision of legal advice on SEC, SET and MoC laws and regulations upon request
  - Review and revision of any disclosure information prior to submission to SET and/or SEC
  - Translation of disclosure information from Thai to English for SEC and/or SET notification
- **Annual Shareholders' Meeting and Board of Directors' Meetings**
  - Organisation of the annual shareholders' meeting
  - Legal advice during the annual shareholders' meeting
  - Preparation and maintenance of meeting minutes for annual shareholders' meeting
  - Storage of meeting minutes for both annual shareholders' and board of directors' meetings



## About PwC Thailand

At PwC, our purpose is to build trust in society and solve important problems. We're a network of firms in 151 countries with over 360,000 people who are committed to delivering quality in assurance, advisory and tax services.

Find out more and tell us what matters to you by visiting us at [www.pwc.com/th](http://www.pwc.com/th)



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## Legal Services

Our business law practice in Thailand comprises highly qualified Thai lawyers with extensive experience in delivering quality legal services to major Thai and foreign corporations. Our law practice group comprises over 20 business lawyers in Thailand.

We offer a comprehensive range of legal services from general advisory work to full transactional support and the structuring, restructuring, documentation and implementation of large-scale commercial projects.

Legal advice can be provided either independently or in conjunction with the specialist tax, financial and other business advisory services that PricewaterhouseCoopers offers in Thailand.

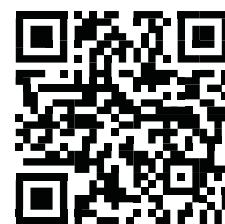
## Key Legal Services

- Foreign Direct Investment
- Corporate registration and secretarial services for private & public companies
- Capital Market & IPO
- Commercial Contracts
- Mergers and Acquisitions
- Licenses & Permits
- Employment & Labour
- Property & Real Estate
- Dispute Resolution and Tax Litigation
- Legal Compliance health check
- Privacy law & related

## Information

For more information about

- Legal Services
- Publication
- Press Room
- Events



Legal Services